

# Directed Domination in Oriented Graphs

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## Abstract

A directed dominating set in a directed graph  $D$  is a set  $S$  of vertices of  $V$  such that every vertex  $u \in V(D) \setminus S$  has an adjacent vertex  $v$  in  $S$  with  $v$  directed to  $u$ . The directed domination number of  $D$ , denoted by  $\gamma(D)$ , is the minimum cardinality of a directed dominating set in  $D$ . The directed domination number of a graph  $G$ , denoted  $\Gamma_d(G)$ , which is the maximum directed domination number  $\gamma(D)$  over all orientations  $D$  of  $G$ . The directed domination number of a complete graph was first studied by Erdős [Math. Gaz. 47 (1963), 220–222], albeit in disguised form. We extend this notion to directed domination of all graphs. If  $\alpha$  denotes the independence number of a graph  $G$ , we show that if  $G$  is a bipartite graph, we show that  $\Gamma_d(G) = \alpha$ . We present several lower and upper bounds on the directed domination number.

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# 1 Introduction

An *asymmetric digraph* or *oriented graph*  $D$  is a digraph that can be obtained from a graph  $G$  by assigning a direction to (that is, orienting) each edge of  $G$ . The resulting digraph  $D$  is called an *orientation* of  $G$ . Thus if  $D$  is an oriented graph, then for every pair  $u$  and  $v$  of distinct vertices of  $D$ , at most one of  $(u, v)$  and  $(v, u)$  is an arc of  $D$ . A *directed dominating set*, abbreviated DDS, in a directed graph  $D = (V, A)$  is a set  $S$  of vertices of  $V$  such that every vertex in  $V \setminus S$  is dominated by some vertex of  $S$ ; that is, every vertex  $u \in V \setminus S$  has an adjacent vertex  $v$  in  $S$  with  $v$  directed to  $u$ . Every digraph has a DDS since the entire vertex set of the digraph is such a set. The *directed domination number* of a directed graph  $D$ , denoted by  $\gamma(D)$ , is the minimum cardinality of a DDS in  $D$ . A DDS of  $D$  of cardinality  $\gamma(D)$  is called a  $\gamma(D)$ -set. Directed domination in digraphs is well studied (cf. [2, 3, 6, 7, 8, 12, 15, 19, 22, 23]).

We define the *lower directed domination number* of a graph  $G$ , denote  $\gamma_d(G)$ , to be the minimum directed domination number  $\gamma(D)$  over all orientations  $D$  of  $G$ ; that is,

$$\gamma_d(G) = \min\{\gamma(D) \mid \text{over all orientations } D \text{ of } G\}.$$

The *upper directed domination number*, or simply the *directed domination number*, of a graph  $G$ , denoted  $\Gamma_d(G)$ , is defined as the maximum directed domination number  $\gamma(D)$  over all orientations  $D$  of  $G$ ; that is,

$$\Gamma_d(G) = \max\{\gamma(D) \mid \text{over all orientations } D \text{ of } G\}.$$

## 1.1 Motivation

The directed domination number of a complete graph was first studied by Erdős [11] albeit in disguised form. In 1962, Schütte [11] raised the question of given any positive integer  $k > 0$ , does there exist a tournament  $T_{n(k)}$  on  $n(k)$  vertices in which for any set  $S$  of  $k$  vertices, there is a vertex  $u$  which dominates all vertices in  $S$ . Erdős [11] showed, by probabilistic arguments, that such a tournament  $T_{n(k)}$  does exist, for every positive integer  $k$ . The proof of the following bounds on the directed domination number of a complete graph are along identical lines to that presented by Erdős [11]. This result can also be found in [23]. Throughout this paper,  $\log$  is to the base 2 while  $\ln$  denotes the logarithm in the natural base  $e$ .

**Theorem 1** (Erdős [11]) *For every integer  $n \geq 2$ ,  $\log n - 2 \log(\log n) \leq \Gamma_d(K_n) \leq \log(n+1)$ .*

In this paper, we extend this notion of directed domination in a complete graph to directed domination of all graphs.

## 1.2 Notation

For notation and graph theory terminology we in general follow [18]. Specifically, let  $G = (V, E)$  be a graph with vertex set  $V$  of order  $n = |V|$  and edge set  $E$  of size  $m = |E|$ , and let  $v$  be a vertex in  $V$ . The *open neighborhood* of  $v$  is  $N_G(v) = \{u \in V \mid uv \in E\}$  and the *closed neighborhood* of  $v$  is  $N_G[v] = \{v\} \cup N_G(v)$ . If the graph  $G$  is clear from context, we simply write  $N(v)$  and  $N[v]$  rather than  $N_G(v)$  and  $N_G[v]$ , respectively. For a set  $S \subseteq V$ , the subgraph induced by  $S$  is denoted by  $G[S]$ . If  $A$  and  $B$  are subsets of  $V(G)$ , we let  $[A, B]$  denote the set of all edges between  $A$  and  $B$  in  $G$ . We denote the diameter of  $G$  by  $\text{diam}(G)$ .

We denote the *degree* of  $v$  in  $G$  by  $d_G(v)$ , or simply by  $d(v)$  if the graph  $G$  is clear from context. The minimum degree among the vertices of  $G$  is denoted by  $\delta(G)$ , and the maximum degree by  $\Delta(G)$ . The *maximum average degree* in  $G$ , denoted by  $\text{mad}(G)$ , is defined as the maximum of the average degrees  $\text{ad}(H) = 2|E(H)|/|V(H)|$  taken over all subgraphs  $H$  of  $G$ .

The parameter  $\gamma(G)$  denotes the *domination number* of  $G$ . The parameters  $\alpha(G)$  and  $\alpha'(G)$  denote the (vertex) *independence number* and the *matching number*, respectively, of  $G$ , while  $\chi(G)$  and  $\chi'(G)$  denote the *chromatic number* and *edge chromatic number*, respectively, of  $G$ . The *covering number* of  $G$ , denoted by  $\beta(G)$ , is the minimum number vertices that covers all the edges of  $G$ . The *clique number* of  $G$ , denoted by  $\omega(G)$ , is the maximum cardinality of a clique in  $G$ .

A vertex  $v$  in a digraph  $D$  *out-dominates*, or simply *dominates*, itself as well as all vertices  $u$  such that  $(v, u)$  is an arc of  $D$ . The *out-neighborhood* of  $v$ , denoted  $N^+(v)$ , is the set of all vertices  $u$  adjacent from  $v$  in  $D$ ; that is,  $N^+(v) = \{u \mid (v, u) \in A(D)\}$ . The *out-degree* of  $v$  is given by  $d^+(v) = |N^+(v)|$ , and the maximum out-degree among the vertices of  $D$  is denoted by  $\Delta^+(D)$ . The *in-neighborhood* of  $v$ , denoted  $N^-(v)$ , is the set of all vertices  $u$  adjacent to  $v$  in  $D$ ; that is,  $N^-(v) = \{u \mid (u, v) \in A(D)\}$ . The *in-degree* of  $v$  is given by  $d^-(v) = |N^-(v)|$ . The *closed in-neighborhood* of  $v$  is the set  $N^-[v] = N^-(v) \cup \{v\}$ . The maximum in-degree among the vertices of  $D$  is denoted by  $\Delta^-(D)$ .

A *hypergraph*  $H = (V, E)$  is a finite set  $V$  of elements, called *vertices*, together with a finite multiset  $E$  of subsets of  $V$ , called *edges*. A *k-edge* in  $H$  is an edge of size  $k$ . The hypergraph  $H$  is said to be *k-uniform* if every edge of  $H$  is a *k-edge*. A subset  $T$  of vertices in a hypergraph  $H$  is a *transversal* (also called *vertex cover* or *hitting set* in many papers) if  $T$  has a nonempty intersection with every edge of  $H$ . The *transversal number*  $\tau(H)$  of  $H$  is the minimum size of a transversal in  $H$ . For a digraph  $D = (V, E)$ , we denote by  $H_D$  the *closed in-neighborhood hypergraph*, abbreviated *CINH*, of  $D$ ; that is,  $H_D = (V, C)$  is the hypergraph with vertex set  $V$  and with edge set  $C$  consisting of the closed in-neighborhoods of vertices of  $V$  in  $D$ .

## 2 Observations

We show first that the lower directed domination number of a graph is precisely its domination number.

**Observation 1** *For every graph  $G$ ,  $\gamma_d(G) = \gamma(G)$ .*

**Proof.** Let  $S$  be a  $\gamma(G)$ -set and let  $D$  be an orientation obtained from  $G$  by directing all edges in  $[S, V \setminus S]$  from  $S$  to  $V \setminus S$  and directing all other edges arbitrarily. Then,  $S$  is a DDS of  $D$ , and so  $\gamma_d(G) \leq \gamma(D) \leq |S| = \gamma(G)$ . However if  $D$  is an orientation of a graph  $G$  such that  $\gamma_d(G) = \gamma(D)$ , and if  $S$  is a  $\gamma(D)$ -set, then  $S$  is also a dominating set of  $G$ , and so  $\gamma(G) \leq |S| = \gamma_d(G)$ . Consequently,  $\gamma_d(G) = \gamma(G)$ .  $\square$

In view of Observation 1, it is not interesting to ask about the lower directed domination number,  $\gamma_d(G)$ , of a graph  $G$  since this is precisely its domination number,  $\gamma(G)$ , which is very well studied. We therefore focus our attention on the (upper) directed domination number of a graph. As a consequence of Theorem 1, we establish a lower bound on the directed domination number of an arbitrary graph.

**Observation 2** *For every graph  $G$  on  $n$  vertices,  $\Gamma_d(G) \geq \log n - 2 \log(\log n)$ .*

**Proof.** Let  $D$  be an orientation of the edges of a complete graph  $K_n$  on the same vertex set as  $G$  such that  $\Gamma_d(K_n) = \gamma(D)$ . Let  $D_G$  be the orientation of  $D$  induced by arcs of  $D$  corresponding to edges of  $G$ . Then,  $\Gamma_d(G) \geq \gamma(D_G) \geq \gamma(D) = \Gamma_d(K_n)$ . The desired lower bound now follows from Theorem 1.  $\square$

**Observation 3** *If  $H$  is an induced subgraph of a graph  $G$ , then  $\Gamma_d(G) \geq \Gamma_d(H)$ .*

**Proof.** Let  $G = (V, E)$  and let  $U = V(H)$ . Let  $D_H$  be an orientation of  $H$  such that  $\Gamma_d(H) = \gamma(D_H)$ . We now extend the orientation  $D_H$  of  $H$  to an orientation  $D$  of  $G$  by directing all edges in  $[U, V \setminus U]$  from  $U$  to  $V \setminus U$  and directing all edges with both ends in  $V \setminus U$  arbitrarily. Then,  $\Gamma_d(G) \geq \gamma(D) \geq \gamma(D_H) = \Gamma_d(H)$ .  $\square$

**Observation 4** *If  $H$  is a spanning subgraph of a graph  $G$ , then  $\Gamma_d(G) \leq \Gamma_d(H)$ .*

**Proof.** Let  $D$  be an arbitrary orientation of  $G$ , and let  $D_H$  be the orientation of  $H$  induced by  $D$ . Since adding arcs cannot increase the directed domination number, we have that  $\gamma(D) \leq \gamma(D_H)$ . This is true for every orientation of  $G$ . Hence,  $\Gamma_d(G) \leq \Gamma_d(H)$ .  $\square$

Hakimi [17] proved that a graph  $G$  has an orientation  $D$  such that  $\Delta^+(D) \leq k$  if and only if  $\text{mad}(G) \leq 2k$ . This implies the following result.

**Observation 5** ([17]) *Every graph  $G$  has an orientation  $D$  such that  $\Delta^+(D) \leq \lceil \text{mad}(G)/2 \rceil$ .*

### 3 Bounds

In this section, we establish bounds on the directed domination number of a graph. We first present lower bounds on the directed domination number of a graph.

**Theorem 2** *Let  $G$  be a graph of order  $n$ . Then the following holds.*

- (a)  $\Gamma_d(G) \geq \alpha(G) \geq \gamma(G)$ .
- (b)  $\Gamma_d(G) \geq n/\chi(G)$ .
- (c)  $\Gamma_d(G) \geq \lceil (\text{diam}(G) + 1)/2 \rceil$ .
- (d)  $\Gamma_d(G) \geq n/(\lceil \text{mad}(G)/2 \rceil + 1)$ .

**Proof.** Since every maximal independent set in a graph is a dominating set in the graph, we recall that  $\gamma(G) \leq \alpha(G)$  holds for every graph  $G$ . To prove that  $\alpha(G) \leq \Gamma_d(G)$ , let  $A$  be a maximum independent set in  $G$  and let  $D$  be the digraph obtained from  $G$  by orienting all arcs from  $A$  to  $V \setminus A$  and orienting all arcs in  $G[V \setminus A]$ , if any, arbitrarily. Since every DDS of  $D$  contains  $A$ , we have  $\gamma(D) \geq |A|$ . However the set  $A$  itself is a DDS of  $D$ , and so  $\gamma(D) \leq |A|$ . Consequently,  $\Gamma_d(G) \geq \gamma(D) = |A| = \alpha(G)$ . This establishes Part (a). Parts (b) and (c) follows readily from Part (a) and the observations that  $\alpha(G) \geq n/\chi(G)$  and  $\alpha(G) \geq \lceil (\text{diam}(G) + 1)/2 \rceil$ . By Observations 5, there is an orientation  $D$  of  $G$  such that  $\Delta^+(D) \leq \lceil \text{mad}(G)/2 \rceil$ . Let  $S$  be a  $\gamma(D)$ -set. Then,  $V \setminus S \subseteq \cup_{v \in S} N^+(v)$ , and so  $n - |S| = |V \setminus S| \leq \sum_{v \in S} d^+(v) \leq |S| \cdot \Delta^+(D)$ , whence  $\gamma(D) = |S| \geq n/(\Delta^+(D) + 1) \geq n/(\lceil \text{mad}(G)/2 \rceil + 1)$ . This establishes Part (d).  $\square$

We remark that since  $\text{mad}(G) \leq \Delta(G)$  for every graph  $G$ , as an immediate consequence of Theorem 2(d) we have that  $\Gamma_d(G) \geq n/(\lceil \Delta(G)/2 \rceil + 1)$ .

Next we consider upper bounds on the directed domination number of a graph. The following lemma will prove to be useful.

**Lemma 3** *Let  $G = (V, E)$  be a graph and let  $V_1, V_2, \dots, V_k$  be subsets of  $V$ , not necessarily disjoint, such that  $\cup_{i=1}^k V_i = V(G)$ . For  $i = 1, 2, \dots, k$ , let  $G_i = G[V_i]$ . Then,*

$$\Gamma_d(G) \leq \sum_{i=1}^k \Gamma_d(G_i).$$

**Proof.** Consider an arbitrary orientation  $D$  of  $G$ . For each  $i = 1, 2, \dots, k$ , let  $D_i$  be the orientation of the edges of  $G_i$  induced by  $D$  and let  $S_i$  be a  $\gamma(D_i)$ -set. Then,  $\Gamma_d(G_i) \geq \gamma(D_i) = |S_i|$  for each  $i$ . Since the set  $S = \cup_{i=1}^k S_i$  is a DDS of  $D$ , we have that  $\gamma(D) \leq |S| \leq \sum_{i=1}^k |S_i| \leq \sum_{i=1}^k \Gamma_d(G_i)$ . Since this is true for every orientation  $D$  of  $G$ , the desired upper bound on  $\Gamma_d(G)$  follows.  $\square$

As a consequence of Lemma 3, we have the following upper bounds on the directed domination number of a graph.

**Theorem 4** *Let  $G$  be a graph of order  $n$ . Then the following holds.*

- (a)  $\Gamma_d(G) \leq n - \alpha'(G)$ .
- (b) *If  $G$  has a perfect matching, then  $\Gamma_d(G) \leq n/2$ .*
- (c)  $\Gamma_d(G) \leq n$  with equality if and only if  $G = \overline{K}_n$ .
- (d) *If  $G$  has minimum degree  $\delta$  and  $n \geq 2\delta$ , then  $\Gamma_d(G) \leq n - \delta$ .*
- (e)  $\Gamma_d(G) = n - 1$  if and only if every component of  $G$  is a  $K_1$ -component, except for one component which is either a star or a complete graph  $K_3$ .

**Proof.** (a) Let  $M = \{u_1v_1, u_2v_2, \dots, u_tv_t\}$  be a maximum matching in  $G$ , and so  $t = \alpha'(G)$ . For  $i = 1, 2, \dots, t$ , let  $V_i = \{u_i, v_i\}$ . If  $n > 2t$ , let  $(V_{t+1}, \dots, V_{n-2t})$  be a partition of the remaining vertices of  $G$  into  $n - 2t$  subsets each consisting of a single vertex. By Lemma 3,  $\Gamma_d(G) \leq \sum_{i=1}^n \Gamma_d(G_i) = t + (n - 2t) = n - t = n - \alpha'(G)$ . Part (b) is an immediate consequence of Part (a). Part (c) is an immediate consequence of Part (a) and the observation that  $\alpha'(G) = 0$  if and only if  $G = \overline{K}_n$ .

(d) It is well known (see, for example, Bollobás [4], pp. 87) that if  $G$  has  $n$  vertices and minimum degree  $\delta$  with  $n \geq 2\delta$ , then  $\alpha'(G) \geq \delta$ . Hence by Part (a) above,  $\Gamma_d(G) \leq n - \delta$ .

(e) Suppose that  $\Gamma_d(G) = n - 1$ . Then by Part (a) above,  $\alpha'(G) = 1$ . However every connected graph  $F$  with  $\alpha'(F) = 1$  is either a star or a complete graph  $K_3$ . Hence, either  $G$  is the vertex disjoint union of a star and isolated vertices or of a complete graph  $K_3$  and isolated vertices.  $\square$

We establish next that the directed domination number of a bipartite graph is precisely its independence number. For this purpose, recall that König [21] and Egerváry [10] showed that if  $G$  is a bipartite graph, then  $\alpha'(G) = \beta(G)$ . Hence by Gallai's Theorem [13], if  $G$  is a bipartite graph of order  $n$ , then  $\alpha(G) + \alpha'(G) = n$ .

**Theorem 5** *If  $G$  is a bipartite graph, then  $\Gamma_d(G) = \alpha(G)$ .*

**Proof.** Since  $G$  is a bipartite graph, we have that  $n - \alpha'(G) = \alpha(G)$ . Thus by Theorem 2(a) and Theorem 4(b), we have that  $\alpha(G) \leq \Gamma_d(G) \leq n - \alpha'(G) = \alpha(G)$ . Consequently, we must have equality throughout this inequality chain. In particular,  $\Gamma_d(G) = \alpha(G)$ .  $\square$

## 4 Relation to other Parameters

The following result establishes an upper bound on the directed domination of a graph in terms of its independence number and chromatic number.

**Theorem 6** *For every graph  $G$ , we have  $\Gamma_d(G) \leq \alpha(G) \cdot \lceil \chi(G)/2 \rceil$ .*

**Proof.** Let  $G$  have order  $n$ . If  $\chi(G) = 1$ , then  $G$  is the empty graph,  $\overline{K}_n$  and so  $\Gamma_d(G) = n = \alpha(G)$ , while if  $\chi(G) = 2$ , then  $G$  is a bipartite graph, and so by Theorem 5,  $\Gamma_d(G) = \alpha(G)$ . In both cases,  $\alpha(G) = \alpha(G) \cdot \lceil \chi(G)/2 \rceil$ , and so  $\Gamma_d(G) = \alpha(G) \cdot \lceil \chi(G)/2 \rceil$ . Hence we may assume that  $\chi(G) \geq 3$ . If  $\chi(G) = 2k$  for some integer  $k \geq 2$ , then let  $V_1, V_2, \dots, V_{2k}$  denote the color classes of  $G$ . For  $i = 1, 2, \dots, k$ , let  $G_i$  be the subgraph  $G[V_{2i-1} \cup V_{2i}]$  of  $G$  induced by  $V_{2i-1}$  and  $V_{2i}$  and note that  $G_i$  is a bipartite graph. By Theorem 5,  $\Gamma_d(G_i) = \alpha(G_i) \leq \alpha(G)$  for all  $1, 2, \dots, k$ . Hence by Lemma 3,  $\Gamma_d(G) \leq \sum_{i=1}^k \Gamma_d(G_i) \leq k\alpha(G) = \alpha(G) \cdot \lceil \chi(G)/2 \rceil$ , as desired. If  $\chi(G) = 2k + 1$  for some integer  $k \geq 1$ , then let  $V_1, V_2, \dots, V_{2k+1}$  denote the color classes of  $G$ . For  $i = 1, 2, \dots, k$ , let  $H_i$  be the subgraph of  $G$  induced by  $V_{2i-1}$  and  $V_{2i}$  and note that  $H_i$  is a bipartite graph. Further let  $H_{k+1} = G[V_{2k+1}]$ , and so  $H_{k+1}$  is an empty graph on  $|V_{2k+1}| \leq \alpha(G)$  vertices. By Lemma 3,  $\Gamma_d(G) \leq \sum_{i=1}^{k+1} \Gamma_d(H_i) \leq (k+1)\alpha(G) = \alpha(G) \cdot \lceil \chi(G)/2 \rceil$ .  $\square$

As shown in the proof of Theorem 6, the upper bound of Theorem 6 is always attained if  $\chi(G) \leq 2$ . We remark that if  $\chi(G) = 3$  or  $\chi(G) = 4$ , then the upper bound of Theorem 6 is achievable by taking, for example,  $G = rK_t$  where  $t \in \{3, 4\}$  and  $r$  is some positive integer. In this case,  $\chi(G) = t$  and  $\Gamma_d(G) = 2r = \alpha(G) \cdot \lceil \chi(G)/2 \rceil$ .

**Theorem 7** *If  $G$  is a graph of order  $n$ , then  $\Gamma_d(G) \leq n - \lfloor \chi(G)/2 \rfloor$ .*

**Proof.** If  $\chi(G) = 1$ , then the bound is immediate since  $\Gamma_d(G) \leq n$  by Theorem 4(c). Hence we may assume that  $\chi(G) = k \geq 2$ . Let  $V_1, V_2, \dots, V_k$  denote the color classes of  $G$ . By the minimality of the coloring, there is an edge between every two color classes. In particular for  $i = 1, 2, \dots, \lfloor k/2 \rfloor$ , there is an edge between  $V_{2i-1}$  and  $V_{2i}$ , and so  $\alpha'(G) \geq \lfloor k/2 \rfloor$ . Hence by Theorem 4(a),  $\Gamma_d(G) \leq n - \alpha'(G) \leq n - \lfloor k/2 \rfloor$ .  $\square$

We remark that the bound of Theorem 7 is achievable for graphs with small chromatic number as may be seen by considering the graph  $G = \overline{K}_{n-k} \cup K_k$  where  $1 \leq k \leq 4$  and  $n > k$ . We show next that the directed domination of a graph is at most the average of its order and independence number. For this purpose, we recall the Gallai-Milgram Theorem [14] for oriented graphs which states that in every oriented graph  $G = (V, E)$ , there is a partition of  $V$  into at most  $\alpha(G)$  vertex disjoint directed paths.

**Theorem 8** *If  $G$  is a graph of order  $n$ , then  $\Gamma_d(G) \leq (n + \alpha(G))/2$ .*

**Proof.** Let  $D$  be an orientation of  $G$ . By the Gallai-Milgram Theorem for oriented graphs, there is a partition  $\mathcal{P} = \{P_1, P_2, \dots, P_t\}$  of  $V(D)$  into  $t$  vertex disjoint directed paths where  $t \leq \alpha(G)$ . For  $i = 1, 2, \dots, t$ , let  $|P_i| = p_i$ , and so  $\sum_{i=1}^t p_i = n$ . By Lemma 3,  $\Gamma_d(G) \leq \sum_{i=1}^t \Gamma_d(P_i) = \sum_{i=1}^t \lceil p_i/2 \rceil \leq \sum_{i=1}^t (p_i + 1)/2 = (\sum_{i=1}^t p_i/2) + t/2 = (n + \alpha(G))/2$ .  $\square$

That the bound of Theorem 8 is best possible, may be seen by considering, for example, the graph  $G = rK_3 \cup sK_1$  of order  $n = 3r + s$  with  $\alpha(G) = r + s$  and  $\Gamma_d(G) = 2r + s = (n + \alpha(G))/2$ .

The following result establishes an upper bound on the directed domination of a graph in terms of the chromatic number of its complement.

**Theorem 9** *If  $G$  is a graph of order  $n$ , then  $\Gamma_d(G) \leq \chi(\overline{G}) \cdot \log \left( \left\lceil \frac{n}{\chi(\overline{G})} \right\rceil + 1 \right)$ .*

**Proof.** Let  $t = \chi(\overline{G})$  and consider a  $\chi(\overline{G})$ -coloring of the complement  $\overline{G}$  of  $G$  into  $t$  color classes  $Q_1, Q_2, \dots, Q_t$ , where  $|Q_i| = q_i$  for  $i = 1, 2, \dots, t$ . For each  $i = 1, 2, \dots, t$ , the subgraph  $G[Q_i]$  of  $G$  induced by  $Q_i$  is a clique. We now consider an arbitrary orientation  $D$  of  $G$ , and we let  $D_i = D[Q_i]$  denote the orientation of the edges of the clique  $G[Q_i]$  induced by  $D$ . Then,

$$\gamma(D) \leq \sum_{i=1}^t \gamma(D_i) \leq \sum_{i=1}^t \Gamma_d(Q_i) = \sum_{i=1}^t \Gamma_d(K_{q_i}).$$

This is true for every orientation  $D$  of  $G$ , and so, by Theorem 1, we have that  $\Gamma_d(G) \leq \sum_{i=1}^t \log(q_i + 1)$ , where  $\sum_{i=1}^t q_i = n$ . By convexity the right hand side attains its maximum when all summands are as equal as possible; that is, some of the summands are  $\lfloor n/t \rfloor$  and some are  $\lceil n/t \rceil$ . Hence,  $\Gamma_d(G) \leq t \log(\lceil n/t \rceil + 1)$ .  $\square$

As a consequence of Theorem 9, we have the following result on the directed domination number of a dense graph with large minimum degree.

**Theorem 10** *If  $G$  is a graph on  $n$  vertices with minimum degree  $\delta(G) \geq (k-1)n/k$  where  $k$  divides  $n$ , then  $\Gamma_d(G) \leq n \log(k+1)/k$ .*

**Proof.** Since  $k \mid n$ , we note that  $n = kt$  and  $\delta(G) \geq (k-1)t$  for some integer  $t$ . By the well-known Hajnal-Szemerédi Theorem [16], the graph  $G$  contains  $t$  vertex disjoint copies of  $K_k$ . Further,  $\chi(\overline{G}) \leq t$ . Thus applying Theorem 9, we have that  $\Gamma_d(G) \leq t \log(k+1) = n \log(k+1)/k$ .  $\square$

## 5 Special Families of Graphs

In this section, we consider the (upper) directed domination number of special families of graph. As remarked earlier, the directed domination number of a complete graph  $K_n$  is determined by Erdős [11] in Theorem 1, while the directed domination number of a bipartite graph is precisely its independence number (see Theorem 5).

### 5.1 Regular Graphs

For each given  $\delta \geq 1$ , applying Theorem 2(a) to the graph  $G = K_{\delta, n-\delta}$  yields  $\Gamma_d(G) \geq n - \delta$ . Hence without regularity, we observe that for each fixed  $\delta \geq 1$ , there exists a graph  $G$  of



order  $n$  and minimum degree  $\delta$  satisfying  $\Gamma_d(G) \geq n - \delta$ . With regularity, the directed domination number of a graph may be much smaller. For a given  $r$ , let  $n = k(r + 1)$  for some integer  $k$  and let  $G$  consist of the disjoint union of  $k$  copies of  $K_{r+1}$ . Let  $G_1, G_2, \dots, G_k$  denote the components of  $G$ . Each component of  $G$  is  $r$ -regular, and by Theorem 1,  $\Gamma_d(G) = \sum_{i=1}^k \Gamma_d(G_i) = \sum_{i=1}^k \Gamma_d(K_{r+1}) \leq k \log(r + 2) = n \log(r + 2)/(r + 1)$ . Hence there exist  $r$ -regular graphs of order  $n$  with  $\Gamma_d(G) \leq n \log(r + 2)/(r + 1)$ . In view of these observations it is of interest to investigate the directed domination number of regular graphs.

In 1964, Vizing proved his important edge-coloring result which states that every graph  $G$  satisfies  $\Delta(G) \leq \chi'(G) \leq \Delta(G) + 1$ . As a consequence of Vizing's Theorem, we have the following upper bound on the directed domination number of a regular graph.

**Theorem 11** *For  $r \geq 2$ , if  $G$  is an  $r$ -regular graph of order  $n$ , then*

$$\Gamma_d(G) \leq n(r + 2)/2(r + 1).$$

**Proof.** By Vizing's Theorem,  $\chi'(G) \leq r + 1$ . Consider an edge coloring of  $G$  using  $\chi'(G)$ -colors. The edges in each color class form a matching in  $G$ , and so the matching number of  $G$  is at least the size of a largest color class in  $G$ . Hence if  $G$  has size  $m$ , we have  $\alpha'(G) \geq m/\chi'(G) \geq m/(r + 1) = nr/2(r + 1)$ . Hence by Theorem 4(a),  $\Gamma_d(G) \leq n - \alpha'(G) \leq n - nr/2(r + 1) = n(r + 2)/2(r + 1)$ .  $\square$

As a special case of Theorem 11, we have that  $\Gamma_d(G) \leq 2n/3$  if  $G$  is a 2-regular graph. We next characterize when equality is achieved in this bound.

**Proposition 1** *Let  $G$  be a 2-regular graph on  $n \geq 3$  vertices. Then the following holds.*

- (a) *If  $G$  is connected, then  $\Gamma_d(G) = \lceil n/2 \rceil$ .*
- (b)  *$\Gamma_d(G) \leq 2n/3$  with equality if and only if  $G$  consists of disjoint copies of  $K_3$ .*

**Proof.** (a) Suppose that  $G$  is a cycle  $C_n$ . If  $n$  is even,  $G$  has a perfect matching, and so, by Theorem 4(c),  $\Gamma_d(G) \leq n/2$ . If  $n$  is odd, then  $\alpha'(G) = (n - 1)/2$ . By Theorem 4(b),  $\Gamma_d(G) \leq n - \alpha'(G) = n - (n - 1)/2 = (n + 1)/2$ . In both cases,  $\Gamma_d(G) \leq \lceil n/2 \rceil$ . To show that  $\Gamma_d(G) \geq \lceil n/2 \rceil$ , we note that if  $D$  is a directed cycle  $C_n$ , then every vertex out-dominates itself and exactly one other vertex, and so  $\Gamma_d(G) \geq \gamma(D) = \lceil n/2 \rceil$ . This proves part (a).

(b) To prove part (b), let  $G_1, G_2, \dots, G_k$  be the components of  $G$ , where  $k \geq 1$ . For  $i = 1, 2, \dots, k$ , let  $G_i$  have order  $n_i$ . Since each component of a cycle,  $n \geq 3k$ . Applying the result of part (a) to each component of  $G$ , we have

$$\Gamma_d(G) = \sum_{i=1}^k \Gamma_d(G_i) \leq \sum_{i=1}^k \left( \frac{n_i + 1}{2} \right) = \frac{n + k}{2} \leq \frac{2n}{3},$$

with equality if and only if  $n = 3k$ , i.e., if and only if  $G_i = C_3$  for each  $i = 1, 2, \dots, k$ .  $\square$

We remark that the upper bound of Theorem 11 can be improved using tight lower bounds on the size of a maximum matching in a regular graph established in [20]. Applying Theorem 4(a) to these matching results in [20], we have the following result. We remark that the  $(n+1)/2$  bound in the statement of Theorem 12 is only included as it is necessary when  $n$  is very small or  $r = 2$ .

**Theorem 12** *For  $r \geq 2$ , if  $G$  is a connected  $r$ -regular graph of order  $n$ , then*

$$\Gamma_d(G) \leq \begin{cases} \max \left\{ \left( \frac{r^2 + 2r}{r^2 + r + 2} \right) \times \frac{n}{2}, \frac{n+1}{2} \right\} & \text{if } r \text{ is even} \\ \frac{(r^3 + r^2 - 6r + 2)n + 2r - 2}{2(r^3 - 3r)} & \text{if } r \text{ is odd} \end{cases}$$

We close this section with the following observation. Graphs  $G$  satisfying  $\chi'(G) = \Delta(G)$  are called *class 1* and those with  $\chi'(G) = \Delta(G) + 1$  are *class 2*.

**Observation 6** *Let  $G$  be an  $r$ -regular graph of order  $n$ . Then the following holds.*

- (a) *If  $G$  is of class 1, then  $\Gamma_d(G) \leq n/2$ .*
- (b) *If  $r \geq n/2$ , then  $\Gamma_d(G) \leq \lceil n/2 \rceil$ .*

**Proof.** (a) Consider a  $r$ -edge coloring of  $G$ . The edges in each color class form a perfect matching in  $G$ , and so, by Theorem 4(c),  $\Gamma_d(G) \leq n/2$ .

(b) If  $n = 2$ , then the result is immediate. Hence we may assume that  $n \geq 3$ . By Dirac's theorem,  $G$  is hamiltonian, and so  $\alpha'(G) \geq \lfloor n/2 \rfloor$ . By Theorem 4(b),  $\Gamma_d(G) \leq n - \alpha'(G) \leq n - \lfloor n/2 \rfloor = \lceil n/2 \rceil$ .  $\square$

## 5.2 Outerplanar Graphs

Let  $\mathcal{OP}_n$  denote the family of all maximal outerplanar graphs of order  $n$ . We define  $\text{Mop}(n) = \max\{\Gamma_d(G)\}$  where the maximum is taken over all graphs  $G \in \mathcal{OP}_n$ .

**Theorem 13**  $\text{Mop}(n) = \lceil n/2 \rceil$ .

**Proof.** Let  $G \in \mathcal{OP}_n$ . Since every maximal outerplanar graph is hamiltonian, we observe by Observation 4 and Proposition 1(a), that  $\Gamma_d(G) \leq \Gamma_d(C_n) = \lceil n/2 \rceil$ . Since this is true for an arbitrary graph  $G$  in  $\mathcal{OP}_n$ , we have  $\text{Mop}(n) \leq \lceil n/2 \rceil$ . Hence it suffices for us to prove that  $\text{Mop}(n) \geq \lceil n/2 \rceil$ . If  $n = 3$ , then by Observation 3,  $\Gamma_d(G) \geq \Gamma_d(C_n) = \lceil n/2 \rceil$ , as desired. Hence we may assume that  $n \geq 4$ , for otherwise the desired result follows.

For  $n \geq 4$  even, we take a directed cycle  $\vec{C}_n$  on  $n \geq 4$  vertices and a selected vertex  $v$  on the cycle, and we add arcs from every vertex  $u$ , where  $u$  is neither the in-neighbor nor the out-neighbor of  $v$  on  $\vec{C}_n$ , to the vertex  $v$ . The resulting orientation  $D$  of the underlying maximal outerplanar graph has  $\gamma_d(D) = n/2$ . Hence for  $n \geq 4$  even, we have  $\text{Mop}(n) = n/2$ .

It remains for us to show that for  $n \geq 5$  odd,  $\text{Mop}(n) = (n+1)/2$ . For  $n \geq 5$  odd, we take a directed cycle  $\vec{C}_n: v_1 v_2 \dots v_n v_1$  on  $n$  vertices. We now add the arcs from  $v_i$  to  $v_1$  for all odd  $i$ , where  $3 \leq i \leq n-2$ , and we add the arcs from  $v_1$  to  $v_i$  for all even  $i$ , where  $4 \leq i \leq n-1$ . Let  $G$  denote the resulting underlying maximal outerplanar graph and let  $D$  denote the resulting orientation of  $D$ . We now consider an arbitrary DDS  $S$  in  $D$ .

Suppose first that  $v_1 \in S$ . In order to dominate the  $(n-1)/2$  vertices  $v_{2i+1}$ , where  $1 \leq i \leq (n-1)/2$ , in  $D$  we must have that  $|S \cap \{v_{2i}, v_{2i+1}\}| \geq 1$  for all  $i = 1, 2, \dots, (n-1)/2$ . Hence in this case when  $v_1 \in S$ , we have  $|S| \geq (n+1)/2$ .

Suppose next that  $v_1 \notin S$ . Then,  $v_2 \in S$ . In order to dominate the  $(n-3)/2$  vertices  $v_{2i}$ , where  $2 \leq i \leq (n-1)/2$ , in  $D$  we must have that  $|S \cap \{v_{2i}, v_{2i-1}\}| \geq 1$  for all  $i = 2, \dots, (n-1)/2$ . In order to dominate  $v_1$ , there is a vertex  $v_j \in S$  for some odd  $j$ , where  $3 \leq j \leq n$ . Let  $j$  be the largest such odd subscript for which  $v_j \in S$ . If  $j = n$ , then  $v_n \in S$  and  $|S| \geq (n+1)/2$ , as desired. Hence we may assume that  $j < n$ . In order to dominate the vertex  $v_i$  for  $i$  odd with  $j < i \leq n$ , we must have  $v_{i-1} \in S$ . In particular, we have that  $v_{j+1} \in S$  to dominate  $v_{j+2}$ , implying that  $|S \cap \{v_j, v_{j+1}\}| = 2$  while for  $i$  odd where  $i \neq j$  and  $3 \leq i \leq n-2$ , we have  $|S \cap \{v_i, v_{i+1}\}| \geq 1$ , implying that  $|S| \geq (n+1)/2$ .

In both cases,  $|S| \geq (n+1)/2$ . Since  $S$  is an arbitrary DDS in  $D$ , we have  $\gamma(D) \geq (n+1)/2$ . Hence,  $\Gamma_d(G) \geq (n+1)/2$ , implying that  $\text{Mop}(n) = (n+1)/2$ .  $\square$

### 5.3 Perfect Graphs

Recall that a *perfect graph* is a graph in which the chromatic number of every induced subgraph equals the size of the largest clique of that subgraph. Characterization of perfect graphs was a longstanding open problem. The first breakthrough was due to Lovsz in 1972 who proved the Perfect Graph Theorem.

**Perfect Graph Theorem** *A graph is perfect if and only if its complement is perfect.*

Let  $\alpha \geq 1$  be an integer and let  $\mathcal{G}_\alpha$  be the class of all graphs  $G$  with  $\alpha \geq \alpha(G)$ . We are now in a position to present an upper bound on the directed domination number of a perfect graph in terms of its independence number.

**Theorem 14** *If  $G \in \mathcal{G}_\alpha$  is a perfect graph of order  $n \geq \alpha$ , then*

$$\Gamma_d(G) \leq \alpha \log(\lceil n/\alpha \rceil + 1).$$

**Proof.** By the Perfect Graph Theorem, the complement  $\overline{G}$  of  $G$  is perfect. Hence,  $\chi(\overline{G}) = \omega(\overline{G}) = \alpha(G)$ . The desired result now follows from Theorem 9.  $\square$

## 6 Interplay between Transversals and Directed Domination

In this section, we present upper bounds on the directed domination number of a graph by demonstrating an interplay between the directed domination number of a graph and the transversal number of a hypergraph. We shall need the following upper bounds on the transversal number of a uniform hypergraph established by Alon [1] and Chvátal and McDiarmid [9]. Applying probabilistic arguments, Alon [1] showed the following result.

**Theorem 15** (Alon [1]) *For  $k \geq 2$ , if  $H$  is a  $k$ -uniform hypergraph with  $n$  vertices and  $m$  edges, then  $\tau(H) \leq (m + n)(\ln k)/k$ .*

**Theorem 16** (Chvátal, McDiarmid [9]) *For  $k \geq 2$ , if  $H$  is a  $k$ -uniform hypergraphs with  $n$  vertices and  $m$  edges, then  $\tau(H) \leq (n + \lfloor \frac{k}{2} \rfloor m) / \lfloor \frac{3k}{2} \rfloor$ . bound is sharp.*

We proceed further with two lemmas. For this purpose, we shall need the Szekeres-Wilf Theorem.

**Theorem 17** (Szekeres-Wilf [24]) *If  $G$  is a  $k$ -degenerate graph, then  $\chi(G) \leq k + 1$ .*

**Lemma 18** *If  $G$  is a graph and  $D$  is an orientation of  $G$  such that  $\Delta^-(D) \leq k$  for some fixed integer  $k \geq 0$ , then  $\chi(G) \leq 2k + 1$ .*

**Proof.** It suffices to show that  $G$  is  $2k$ -degenerate, since then the desired result follows from the Szekeres-Wilf Theorem. Assume, to the contrary, that  $G$  is not  $2k$ -degenerate. Then there is a subset  $S$  of  $V(G)$  such that the subgraph  $G_S = G[S]$  induced by  $S$  has minimum degree at least  $2k + 1$  and hence contains at least  $(2k + 1)|S|/2$  edges. Let  $D_S = D[S]$  be the orientation of  $D$  induced by  $S$ . Since  $\Delta^-(D) \leq k$ , we have that  $\Delta^-(D_S) \leq k$  and

$$k|S| \geq \sum_{v \in V(D_S)} d^-(v) = |E(G_S)| \geq (2k + 1)|S|/2 > k|S|,$$

a contradiction.  $\square$

**Lemma 19** *Let  $D$  be an orientation of a graph  $G$ . If  $G$  contains  $n_k$  vertices with in-degree at most  $k$  in  $D$  for some fixed integer  $k \geq 0$ , then  $n_k \leq (2k + 1)\alpha(G)$ .*

**Proof.** Let  $V_k$  denote the set of all vertices of  $G$  with in-degree at most  $k$  in  $D$ , and so  $n_k = |V_k|$ . Let  $G_k = G[V_k]$  and let  $D_k = D[V_k]$ . Then,  $D_k$  is an orientation of  $G_k$

such that  $\Delta^-(D_k) \leq k$ , and so by Lemma 18,  $\chi(G_k) \leq 2k + 1$ . Since every color class of  $G_k$  is an independent set, and therefore has cardinality at most  $\alpha(G)$ , we have that  $n_k = |V_k| \leq \chi(G_k)\alpha(G) \leq (2k + 1)\alpha(G)$ .  $\square$

Let  $f(n, k)$ ,  $g(n, k)$ , and  $h(n, k)$  be the functions of  $n$  and  $k$  defined as follows.

$$\begin{aligned} f(n, k) &= 2n \ln(k + 2)/(k + 2) + (2k + 1)\alpha(G) \\ g(n, k) &= n(k + 2)/3k + 2(2k + 1)\alpha(G)/3 \\ h(n, k) &= n(k + 1)/(3k - 1) + 2k(2k + 1)\alpha(G)/(3k - 1) \end{aligned}$$

**Theorem 20** *If  $G$  is a graph on  $n$  vertices, then*

$$\Gamma_d(G) \leq \begin{cases} \min_{k \geq 0} \{f(n, k), g(n, k)\} & \text{if } k \text{ is even} \\ \min_{k \geq 1} \{f(n, k), h(n, k)\} & \text{if } k \text{ is odd} \end{cases}$$

**Proof.** Let  $D$  be an arbitrary orientation of the graph  $G$  and let  $k \geq 0$  be an arbitrary integer. Let  $V_k$  denote the set of all vertices of  $G$  with in-degree at most  $k$  in  $D$  and let  $n_k = |V_k|$ . Let  $V_{>k} = V(G) \setminus V_k$ , and so all vertices in  $V_{>k}$  have in-degree at least  $k + 1$  in  $D$ . Let  $H_{>k}$  be the hypergraph obtained from the CINH  $H_D$  of  $D$  by deleting the  $n_k$  edges corresponding to closed in-neighborhoods of vertices in  $V_k$ . Each edge in  $H_{>k}$  has size at least  $k + 2$ .

We now define the hypergraph  $H$  as follows. For each edge  $e_v$  in  $H_{>k}$  corresponding to the closed in-neighborhood of a vertex  $v$  in  $V_{>k}$ , let  $e'_v$  consist of  $v$  and exactly  $k + 1$  vertices from  $N^-(v)$ . Thus,  $e'_v \subseteq e_v$  and  $e'_v$  has size  $k + 2$ . Let  $H$  be the hypergraph obtained from  $H_{>k}$  by shrinking all edges  $e_v$  of  $H_{>k}$  to the edges  $e'_v$ . Then,  $H$  is a  $(k + 2)$ -uniform hypergraph with  $n$  vertices and  $n - n_k$  edges.

Every transversal  $T$  in  $H$  contains a vertex from the closed in-neighborhood of each vertex from the set  $V_{>k}$  in  $D$ , and therefore  $T \cup V_k$  is a DDS in  $D$ . In particular, taking  $T$  to be a minimum transversal in  $H$ , we have that  $\gamma(D) \leq \tau(H) + n_k$ . By Lemma 19,  $n_k \leq (2k + 1)\alpha(G)$ . Applying Theorem 15 to the hypergraph  $H$ , we have that

$$\tau(H) \leq (n + n - n_k) \ln(k + 2)/(k + 2) \leq 2n \ln(k + 2)/(k + 2),$$

and so  $\gamma(D) \leq \tau(H) + n_k \leq 2n \ln(k + 2)/(k + 2) + \alpha(G)(2k + 1) = f(n, k)$ . Applying Theorem 16 to the hypergraph  $H$  for  $k$  even, we have that

$$\tau(H) \leq (2n + k(n - n_k))/3k = n(k + 2)/3k - n_k/3,$$

and so  $\gamma(D) \leq \tau(H) + n_k \leq n(k + 2)/3k + 2n_k/3 \leq n(k + 2)/3k + 2(2k + 1)\alpha(G)/3 = g(n, k)$ . Thus for  $k$  even, we have that  $\Gamma_d(G) \leq \min\{f(n, k), g(n, k)\}$ . Applying Theorem 16 to the hypergraph  $H$  for  $k$  odd, we have that

$$\tau(H) \leq (2n + (k - 1)(n - n_k))/(3k - 1) = n(k + 1)/(3k - 1) - (k - 1)n_k/(3k - 1),$$

and so  $\gamma(D) \leq \tau(H) + n_k \leq n(k+1)/(3k-1) + 2kn_k/(3k-1) \leq n(k+1)/(3k-1) + 2k(2k+1)\alpha(G)/(3k-1) = h(n, k)$ . Thus for  $k$  odd, we have that  $\Gamma_d(G) \leq \min\{f(n, k), h(n, k)\}$ .  $\square$

Let  $f_n(\alpha)$ ,  $g_n(\alpha)$ , and  $h_n(\alpha)$  be the functions of  $n$  and  $\alpha$  defined as follows.

$$\begin{aligned} f_n(\alpha) &\doteq \sqrt{2n\alpha} \left( \ln(\sqrt{2n/\alpha}) + 2 \right) - 2\alpha \\ g_n(\alpha) &\doteq \frac{1}{3} \left( n + 2\alpha + 4\sqrt{2n\alpha} \right) \\ h_n(\alpha) &\doteq \frac{1}{3} \left( n + \frac{14}{3}\alpha + \frac{\sqrt{2\alpha}(27n + 20\alpha)}{3\sqrt{5\alpha + 6n}} \right) \end{aligned}$$

As a consequence of Theorem 20, we have the following upper bound on the directed domination of a graph.

**Theorem 21** *If  $G$  is a graph on  $n$  vertices with independence number  $\alpha$ , then*

$$\Gamma_d(G) \leq \min \{f_n(\alpha), g_n(\alpha), h_n(\alpha)\}.$$

**Proof.** By Theorem 20, we need to optimize the functions  $f(n, k)$ ,  $g(n, k)$  and  $h(n, k)$  over  $k$  to obtain an upper bound on  $\Gamma_d(G)$ . To simplify the notation, let  $\alpha = \alpha(G)$ . Optimizing the function  $g(n, k)$  over  $k$  (treating  $n$  as fixed), we get  $g(n, k) \leq g_n(\alpha)$ , while optimizing the function  $h(n, k)$  over  $k$  (treating  $n$  as fixed), we get  $h(n, k) \leq h_n(\alpha)$ . Optimization of the function  $f(n, k)$  is complicated. Hence to simplify the computations, we choose a value  $k^*$  for  $k$  and show that  $f(n, k^*) \leq f_n(\alpha)$ . Suppose  $\alpha \geq n/2$ . Then,  $\alpha = cn$  with  $1 \geq c \geq 1/2$ . Substituting this into  $f_n(\alpha)$  we get  $f_n(\alpha) = n\sqrt{2c}(\ln(2/c) + 2) - 2cn = n(\sqrt{2c}(\ln(2/c) + 2) - 2c) \geq n$ , and so the inequality  $\Gamma_d(G) \leq f_n(\alpha)$  holds trivially. Hence we may assume that  $\alpha \leq n/2$ . We now take  $k = \sqrt{2n/\alpha} - 2 \geq 0$ . Substituting into  $f(n, k) = 2n \ln(k+2)/(k+2) + (2k+1)\alpha$ , we get

$$\begin{aligned} f(n, k) &= 2n \ln(\sqrt{2n/\alpha})/\sqrt{2n/\alpha} + (2\sqrt{2n/\alpha} - 3)\alpha \\ &= \sqrt{2n\alpha} \ln(\sqrt{2n/\alpha}) + 2\alpha\sqrt{2n/\alpha} - 3\alpha \\ &= \sqrt{2n\alpha} \left( \ln(\sqrt{2n/\alpha}) + 2 \right) - 3\alpha \\ &< f_n(\alpha), \end{aligned}$$

as desired.  $\square$

If every edge of a hypergraph  $H$  has size at least  $r$ , we define an  $r$ -transversal of  $H$  to be a transversal  $T$  such that  $|T \cap e| \geq r$  for every edge  $e$  in  $H$ . The  $r$ -transversal number  $\tau_r(H)$  of  $H$  is the minimum size of an  $r$ -transversal in  $H$ . In particular, we note that  $\tau_1(H) = \tau(H)$ . For integers  $k \geq r$  where  $k \geq 2$  and  $r \geq 1$ , we first establish general upper bounds on the  $r$ -transversal number of a  $k$ -uniform hypergraph. Our next result generalizes that of Theorem 15 due to Alon [1], as well as generalizes results due to Caro [5].

**Theorem 22** For integers  $k \geq r$  where  $k \geq 2$  and  $r \geq 1$ , let  $H$  be a  $k$ -uniform hypergraph with  $n$  vertices and  $m$  edges. Then,  $\tau_r(H) \leq n \ln k/k + rm(2 \ln k)^r/k$ .

**Proof.** Pick every vertex of  $V(H)$  randomly with probability  $p$  to be determined later but such that  $(1-p) > 1/2$ . Let  $X$  be the set of randomly picked vertices and let  $E_X$  be the set of edges of  $E(H)$  whose intersection with  $X$  is at most  $r-1$ . For every fixed edge  $e \in E(H)$ , the probability that  $e$  is in  $E_X$  is exactly

$$\Pr(e \in E_X) = \sum_{i=0}^{r-1} \binom{k}{i} p^i (1-p)^{k-i} = (1-p)^k \sum_{i=0}^{r-1} \binom{k}{i} \left(\frac{p}{1-p}\right)^i. \quad (1)$$

We now choose  $p = \ln k/k$ . With this choice of  $p$ , we have that  $(1-p) > 1/2$ . Hence,  $1/(1-p)^i < 2^i$  for all  $i \geq 1$ . Since  $1-x \leq e^{-x}$  for all  $x \in R$ , we note that  $(1-p)^k \leq e^{-pk} = e^{-\ln k} = 1/k$ . Substituting  $p = \ln k/k$  into Equation (1) we therefore get

$$\Pr(e \in E_X) \leq \frac{1}{k} \sum_{i=0}^{r-1} \frac{k^i}{i!} \cdot \frac{p^i}{(1-p)^i} \leq \frac{1}{k} \sum_{i=0}^{r-1} \frac{(2kp)^i}{i!} \leq \frac{1}{k} \sum_{i=0}^{r-1} (2 \ln k)^i \leq \frac{1}{k} (2 \ln k)^r,$$

since  $1 + q + q^2 + \dots + q^{r-1} = (q^r - 1)/(q - 1) \leq q^r$  for  $q > 1$  and  $r \geq 1$ . For each edge  $e \in E_X$ , we add  $r - |e \cap X|$  (which is at most  $r$ ) vertices from  $e \setminus X$  to a set  $Y$ . Then,  $T = X \cup Y$  is a  $r$ -transversal in  $H$  and  $|Y| \leq r|E_X|$ . By the linearity of expectation,  $E(T) = E(X) + E(Y) \leq E(X) + rE(E_X) = n \ln k/k + rm(2 \ln k)^r/k$ .  $\square$

Using  $r$ -transversals in hypergraphs, we obtain the following bound on the directed  $r$ -domination number of a graph.

**Theorem 23** For  $r \geq 1$  an integer, if  $G$  is a graph on  $n$  vertices, then

$$\Gamma_d(G, r) \leq \min_{k \geq r} \{(2k-1)\alpha(G) + n \ln(k+1)/(k+1) + rn(2 \ln(k+1))^r/(k+1)\}.$$

**Proof.** Let  $D$  be an arbitrary orientation of the graph  $G$  and let  $k \geq r$  be an arbitrary integer. Let  $V_{<k}$  denote the set of all vertices of  $G$  with in-degree at most  $k-1$  in  $D$  and let  $n_{<k} = |V_{<k}|$ . Let  $G_{<k}$  be the subgraph of  $G$  induced by the set  $V_{<k}$  and let  $D_{<k}$  be the orientation of  $G_{<k}$  induced by  $D$ . Then,  $\Delta^-(D_{<k}) \leq k-1$ , and so, by Lemma 18,  $\chi(G_{<k}) \leq 2k-1$ , implying that  $n_{<k} \leq (2k-1)\alpha(G)$ .

Let  $V_k = V(G) \setminus V_{<k}$ , and so all vertices in  $V_k$  have in-degree at least  $k$  in  $D$ . Let  $H_k$  be the hypergraph obtained from the CINH  $H_D$  of  $D$  by deleting the  $n_{<k}$  edges corresponding to closed in-neighborhoods of vertices in  $V_{<k}$ . Each edge in  $H_k$  has size at least  $k+1$ . We now define the hypergraph  $H$  as follows. For each edge  $e_v$  in  $H_k$  corresponding to the closed in-neighborhood of a vertex  $v$  in  $V_k$ , let  $e'_v$  consist of  $v$  and exactly  $k$  vertices from

$N^-(v)$ . Thus,  $e'_v \subseteq e_v$  and  $e'_v$  has size  $k+1$ . Let  $H$  be the hypergraph obtained from  $H_k$  by shrinking all edges  $e_v$  of  $H_k$  to the edges  $e'_v$ . Then,  $H$  is a  $(k+1)$ -uniform hypergraph with  $n$  vertices and  $n - n_{<k}$  edges.

Every  $r$ -transversal  $T$  in  $H$  contains at least  $r$  vertices from the closed in-neighborhood of each vertex from the set  $V_k$  in  $D$ , and therefore  $T \cup V_{<k}$  is a DrDS in  $D$ . In particular, taking  $T$  to be a minimum  $r$ -transversal in  $H$ , we have that  $\gamma_r(D) \leq \tau_r(H) + n_{<k}$ . By Lemma 19,  $n_{<k} \leq (2k-1)\alpha(G)$ . Noting that  $k+1 \geq r+1 \geq 2$ , we can apply Theorem 22 to the hypergraph  $H$  yielding  $\tau_r(H) \leq n \ln(k+1)/(k+1) + r(n - n_{<k})(2 \ln(k+1))^r/(k+1)$ , and so  $\gamma_r(D) \leq \tau_r(H) + n_{<k} \leq (2k-1)\alpha(G) + n \ln(k+1)/(k+1) + rn(2 \ln(k+1))^r/(k+1)$ . Since this is true for every integer  $k \geq r$ , the desired upper bound on  $\Gamma_d(G, r)$  follows.  $\square$

## 7 Open Questions

We close with a list of open questions and conjectures that we have yet to settle. Let  $\mathcal{R}_n$  denote the family of all  $r$ -regular graphs of order  $n$ . We define  $m(n, r) = \min\{\Gamma_d(G)\}$  and  $M(n, r) = \max\{\Gamma_d(G)\}$ , where the minimum and maximum are taken over all graphs  $G \in \mathcal{R}_n$ . Then,  $m(n, 1) = M(n, 1) = n/2$ . By Proposition 1,  $m(n, 2) = n/2$  while  $M(n, 2) = 2n/3$ . We remark that by Theorem 11, for  $r \geq 2$ , we know that

$$\frac{n}{2} \leq M(n, r) \leq \left( \frac{r+2}{r+1} \right) \cdot \frac{n}{2} \quad (2)$$

(and this upper bound on  $M(n, r)$  can be improved slightly by Theorem 12).

**Conjecture 1.** For  $r \geq 3$ ,  $M(n, r) = n/2$ .

By Theorem 2(a), we know that if  $G \in \mathcal{R}_n$ , then  $\Gamma_d(G) \geq \alpha(G) \geq n/(r+1)$ , and so  $n/(r+1) \leq m(n, r)$ . Moreover taking  $n/(r+1)$  copies of  $K_{r+1}$ , we have by Theorem 1 that  $m(n, r) \leq n \log(r+2)/(r+1)$ . We pose the following question.

**Question 1.** For  $r \geq 3$ , does there exist a constant  $c$  such that  $m(n, r) \leq cn/(r+1)$ ?

Let  $\mathcal{OP}_n$  denote the family of all maximal outerplanar graphs of order  $n$  and define  $\text{mop}(n) = \min\{\Gamma_d(G)\}$ , where the minimum is taken over all graphs  $G \in \mathcal{OP}_n$ . Since outerplanar graphs are 3-colorable, we note by Theorem 2(b) that for every graph  $G \in \mathcal{OP}_n$ ,  $\Gamma_d(G) \geq n/3$ , implying that  $\text{mop}(n) \geq n/3$ . By Theorem 13, we know that  $\text{mop}(n) \leq \lceil n/2 \rceil$ . Thus,  $n/3 \leq \text{mop}(n) \leq \lceil n/2 \rceil$ .

**Problem 1.** Find good lower and upper bounds on  $\text{mop}(n)$ .

Let  $\mathcal{P}_n$  denote the family of all maximum planar graphs of order  $n$ . We define  $\text{mp}(n) = \min\{\Gamma_d(G)\}$  and  $\text{Mp}(n) = \max\{\Gamma_d(G)\}$ , where the minimum and maximum are taken over all graphs  $G \in \mathcal{P}_n$ .

**Problem 2.** Find good lower and upper bounds on  $\text{mp}(n)$  and  $\text{Mp}(n)$ .



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